



Released on May 13, 2021

Consolidated Financial Report for the First Quarter 2021 (Unaudited)(Japan GAAP) AnGes, Inc. https://www.anges.co.jp/

Listings: Mothers of the Tokyo Stock Exchange, Code 4563 Head Office: 7-7-15, Saito-Asagi, Ibaraki, Osaka, 567-0085, Japan

(Figures are rounded down to the nearest million yen)

1. Business Results for the First Quater 2021 (From Jan. 1, 2021 to Mar. 31, 2021)

(1) Results of Operation	Operations (Percentages indicate changes from the same period of the previous fiscal year)							
	Revenue	es	Operating	loss	Ordinary I	OSS	Net loss	
	Million yen	%	Million yen	%	Million yen	%	Million yen	%
1Q 2021	11	101.4	(3,628)	—	(3,361)	—	(3,314)	
1Q 2020	5	(92.4)	(974)	—	(922)	—	(919)	
*Comprehensive Incol	me 10	2021:	(1,927) mil	lion yen	1Q 2020	:	(926) million yen	

	Net loss per share	Diluted net loss per share
	Yen	Yen
1Q 2021	(24.82)	—
1Q 2020	(8.56)	—

(2) Financial Position

	Total assets	Total net assets	Shareholders' equity ratio	
	Million yen	Million yen	%	1
Mar. 31, 2021	42,261	36,564	86.2	
Dec. 31, 2020	38,354	32,679	84.8	
*Charabaldar'a aquitu	Mar 21 2021	26 110 million yon	Dec. 21, 2020 /	20 E

*Shareholder's equity Mar. 31, 2021 : 36,410 million yen Dec. 31, 2020 : 32,537 million yen

2. Dividend

		Dividend per share				
	1Q	2Q	3Q	4Q	Full-year	
	Yen	Yen	Yen	Yen	Yen	
FY 2020	—	0.00	—	0.00	0.00	
FY 2021	—					
FY 2021 (Forecast)		0.00		0.00	0.00	

*Change of dividend forecast from the most recent release: None

3. Earnings Forecast for the Fiscal Year 2021 (From Jan. 1, 2021 to Dec. 31, 2021) *The financial forecast for the fiscal year 2021 is not stated because the reasonable financial forecast cannot be calculated at this time.

Notes:

(1) Change of reporting entities (change of condition of significant consolidated subsidiaries) : None : None

(2) Accounting procedure peculiar to the guarterly consolidated financial statements

(3) Change of significant accounting and reporting policies for consolidated financial statements

- 1) Changes caused by revision of accounting standard
- 2) Changes in accounting policies other than 1) : None
- 3) Changes in accounting estimates
- 4) Restatement

: None

: None

- : None
- (4) Number of shares issued and outstanding (common stock)
 - 1) Number of shares issued and outstanding (including treasury shares)
 - 2) Number of treasury shares
 - 3) Average number of shares issued and outstanding

)	Mar. 31, 2021	139,872,400 shares	Dec. 31, 2020	133,059,400 shares
	Mar. 31, 2021	92 shares	Dec. 31, 2020	91 shares
	1Q 2021	133,532,658 shares	1Q 2020	107,499,745 shares

*Performance forecasts or any other future events contained in this report are based on currently available information which are believed to be reasonable at the time of forecasts. Actual results may differ from the forecasts due to various factors.

**This financial report has been translated from Japanese original which has been prepared in accordance with generally accepted accounting principles in Japan, for reference purposes only. Also some changes are added to this report in order to present in a form more familiar to the readers outside Japan.

AnGes, Inc Consolidated Balance Sheets (In thousands of yen) (Unaudited)

	December 31, 2020	March 31, 2021
Assets		
Current assets		
Cash and deposits	11,537,028	14,157,034
Accounts receivable - trade	12,698	12,698
Finished goods	49,839	42,933
Raw materials and supplies	1,141,460	1,155,771
Advance payments - trade	1,060,197	1,414,093
Prepaid expenses	38,693	40,344
Consumption taxes receivable	280,309	455,120
Other	46,405	40,337
Total current assets	14,166,633	17,318,333
Non-current assets		
Property, plant and equipment		
Buildings	132,133	127,632
Accumulated depreciation	(13,834)	(15,384)
Buildings, net	118,299	112,248
Tools, furniture and fixtures	212,643	77,533
Accumulated depreciation	(94,844)	(66,938)
Tools, furniture and fixtures, net	117,799	10,595
Total property, plant and equipment	236,098	122,843
Intangible assets		
Goodwill	22,713,938	23,549,628
Total intangible assets	22,713,938	23,549,628
Investments and other assets		
Investment securities	1,075,199	1,078,166
Leasehold and guarantee deposits	83,177	88,638
Deferred tax assets	10,568	32,119
Other	68,994	72,061
Total investments and other assets	1,237,940	1,270,986
Total non-current assets	24,187,977	24,943,458
Total assets	38,354,611	42,261,792

AnGes, Inc Consolidated Balance Sheets (In thousands of yen) (Unaudited)

	December 31, 2020	March 31, 2021
Liabilities		
Current liabilities		
Accounts payable - trade	514,132	794,424
Accounts payable - other	1,231,384	335,090
Accrued expenses	68,941	109,157
Income taxes payable	165,481	80,340
Advances received	3,594,532	4,288,300
Deposits received	15,393	13,702
Total current liabilities	5,589,864	5,621,015
Non-current liabilities		
Deferred tax liabilities	39,314	30,709
Asset retirement obligations	45,757	45,777
Total non-current liabilities	85,072	76,486
Total liabilities	5,674,936	5,697,502
Net assets		
Shareholders' equity		
Share capital	24,612,076	27,512,512
Capital surplus	22,756,406	25,656,842
Retained earnings	(14,644,009)	(17,958,247)
Treasury shares	(30)	(31)
Total shareholders' equity	32,724,444	35,211,077
Accumulated other comprehensive income		
Valuation difference on available-for-sale securities	59,194	51,364
Foreign currency translation adjustment	(246,472)	1,147,704
Total accumulated other comprehensive income	(187,277)	1,199,068
Share acquisition rights	142,508	154,144
Total net assets	32,679,675	36,564,289
Total liabilities and net assets	38,354,611	42,261,792

AnGes, Inc Consolidated Statements of Operations (In thousands of yen) (Unaudited)

(From Jan. 1 to Mar.31) 2020Business revenues(From Jan. 1 to Mar.31) 2020Net sales of finished goods5,731Total business revenues5,731Business expenses5,731Cost of sales3,453Research and development expenses628,354Selling, general and administrative expenses979,882Operating loss(974,150)Operating loss(974,150)Non-operating income551Interest income551Total non-operating income1,801Interest expenses-Interest expenses-Subscription rights to shares issuance cost106Share issuance costs21,896Share issuance costs106Loss on investments in investment partnerships106Total non-operating expenses106Interest expenses22,182Stare issuance costs21,896Share issuance costs106Loss on investments in investment partnerships19,450Cordinary loss(922,890)Extraordinary income5,418Gain on reversal of share acquisition rights5,418State s- current2,453Loss before income taxes(917,472)Income taxes - deferred43Loss attributable to owners of parent(919,969)(3,314,238)		The First Q	
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Research and development expenses 628,354 2,416,248 Selling, general and administrative expenses 348,075 1,216,691 Total business expenses 979,882 3,639,846 Operating loss (974,150) (3,628,302) Non-operating income 551 110 Interest income 551 110 Foreign exchange gains 71,089 281,457 Commission income 1,801 1,335 Gain on investments in investment partnerships - 19,450 Total non-operating income 73,442 302,353 Non-operating expenses - 65 Interest expenses - 65 Share issuance costs 21,896 33,680 Loss on investments in investment partnerships 179 - Subscription rights to shares issuance cost 106 1,691 Total non-operating expenses 22,182 35,437 Ordinary loss (922,890) (3,361,386) Extraordinary income 5,418 32,844 Total extraordinary income	•		
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Interest income 551 110 Foreign exchange gains 71,089 281,457 Commission income 1,801 1,335 Gain on investments in investment partnerships - 19,450 Total non-operating expenses - 965 Interest expenses - 655 Share issuance costs 21,896 33,680 Loss on investments in investment partnerships 179 - Subscription rights to shares issuance cost 106 1,691 Total non-operating expenses 22,182 35,437 Ordinary loss (922,890) (3,361,386) Extraordinary income 5,418 32,844 Total extraordinary income 5,418 32,844 Loss before income taxes (917,472) (3,328,542) Income taxes - current 2,453 5,968 Income taxes - deferred 43 (20,272) Total income taxes 2,496 (14,304) Loss (919,969) (3,314,238)	Operating loss	(974,150)	(3,628,302)
Foreign exchange gains 71,089 281,457 Commission income 1,801 1,335 Gain on investments in investment partnerships - 19,450 Total non-operating income 73,442 302,353 Non-operating expenses - 65 Interest expenses 21,896 33,680 Loss on investments in investment partnerships 179 - Subscription rights to shares issuance cost 106 1,691 Total non-operating expenses 22,182 35,437 Ordinary loss (922,890) (3,361,386) Extraordinary income 5,418 32,844 Total extraordinary income (917,472) (3,328,542) Income taxes - current 2,453 5,968 Income taxes - deferred 43 (20,272) Total income taxes 2,496 (14,304) Loss (919,969) (3,314,238)	Non-operating income		
Commission income1,8011,335Gain on investments in investment partnerships-19,450Total non-operating income73,442302,353Non-operating expenses-65Interest expenses21,89633,680Loss on investments in investment partnerships179-Subscription rights to shares issuance cost1061,691Total non-operating expenses22,18235,437Ordinary loss(922,890)(3,361,386)Extraordinary income5,41832,844Total extraordinary income5,41832,844Loss before income taxes(917,472)(3,328,542)Income taxes - current2,4535,968Income taxes - deferred43(20,272)Total income taxes2,496(14,304)Loss(919,969)(3,314,238)	Interest income	551	110
Gain on investments in investment partnerships-19,450Total non-operating income73,442302,353Non-operating expenses-65Interest expenses-65Share issuance costs21,89633,680Loss on investments in investment partnerships179-Subscription rights to shares issuance cost1061,691Total non-operating expenses22,18235,437Ordinary loss(922,890)(3,361,386)Extraordinary income-Gain on reversal of share acquisition rights5,41832,844Total extraordinary income5,41832,844Loss before income taxes(917,472)(3,328,542)Income taxes - current2,4535,968Income taxes - deferred43(20,272)Total income taxes2,496(14,304)Loss(919,969)(3,314,238)	Foreign exchange gains	71,089	281,457
Total non-operating income 73,442 302,353 Non-operating expenses - 65 Interest expenses 21,896 33,680 Loss on investments in investment partnerships 179 - Subscription rights to shares issuance cost 106 1,691 Total non-operating expenses 22,182 35,437 Ordinary loss (922,890) (3,361,386) Extraordinary income (922,890) (3,361,386) Extraordinary income 5,418 32,844 Total extraordinary income 5,418 32,844 Loss before income taxes (917,472) (3,328,542) Income taxes - current 2,453 5,968 Income taxes - deferred 43 (20,272) Total income taxes 2,496 (14,304) Loss (919,969) (3,314,238)	Commission income	1,801	1,335
Non-operating expensesInterest expenses-65Share issuance costs21,89633,680Loss on investments in investment partnerships179-Subscription rights to shares issuance cost1061,691Total non-operating expenses22,18235,437Ordinary loss(922,890)(3,361,386)Extraordinary incomeGain on reversal of share acquisition rights5,41832,844Total extraordinary income5,41832,844Loss before income taxes(917,472)(3,328,542)Income taxes - current2,4535,968Income taxes - deferred43(20,272)Total income taxes2,496(14,304)Loss(919,969)(3,314,238)	Gain on investments in investment partnerships	-	19,450
Non-operating expensesInterest expenses-65Share issuance costs21,89633,680Loss on investments in investment partnerships179-Subscription rights to shares issuance cost1061,691Total non-operating expenses22,18235,437Ordinary loss(922,890)(3,361,386)Extraordinary incomeGain on reversal of share acquisition rights5,41832,844Total extraordinary income5,41832,844Loss before income taxes(917,472)(3,328,542)Income taxes - current2,4535,968Income taxes - deferred43(20,272)Total income taxes2,496(14,304)Loss(919,969)(3,314,238)	Total non-operating income	73,442	302,353
Interest expenses - 65 Share issuance costs 21,896 33,680 Loss on investments in investment partnerships 179 - Subscription rights to shares issuance cost 106 1,691 Total non-operating expenses 22,182 35,437 Ordinary loss (922,890) (3,361,386) Extraordinary income - - Gain on reversal of share acquisition rights 5,418 32,844 Total extraordinary income 5,418 32,844 Loss before income taxes (917,472) (3,328,542) Income taxes - current 2,453 5,968 Income taxes - deferred 43 (20,272) Total income taxes 2,496 (14,304) Loss (919,969) (3,314,238)	Non-operating expenses		
Share issuance costs 21,896 33,680 Loss on investments in investment partnerships 179 - Subscription rights to shares issuance cost 106 1,691 Total non-operating expenses 22,182 35,437 Ordinary loss (922,890) (3,361,386) Extraordinary income - - Gain on reversal of share acquisition rights 5,418 32,844 Total extraordinary income 5,418 32,844 Loss before income taxes (917,472) (3,328,542) Income taxes - current 2,453 5,968 Income taxes - deferred 43 (20,272) Total income taxes 2,496 (14,304) Loss (919,969) (3,314,238)		-	65
Loss on investments in investment partnerships 179 - Subscription rights to shares issuance cost 106 1,691 Total non-operating expenses 22,182 35,437 Ordinary loss (922,890) (3,361,386) Extraordinary income - Gain on reversal of share acquisition rights 5,418 32,844 Total extraordinary income - - Loss before income taxes (917,472) (3,328,542) Income taxes - current 2,453 5,968 Income taxes - deferred 43 (20,272) Total income taxes 2,496 (14,304) Loss (919,969) (3,314,238)		21,896	33,680
Subscription rights to shares issuance cost 106 1,691 Total non-operating expenses 22,182 35,437 Ordinary loss (922,890) (3,361,386) Extraordinary income 106 1,691 Gain on reversal of share acquisition rights 5,418 32,844 Total extraordinary income 5,418 32,844 Loss before income taxes (917,472) (3,328,542) Income taxes - current 2,453 5,968 Income taxes - deferred 43 (20,272) Total income taxes 2,496 (14,304) Loss (919,969) (3,314,238)	Loss on investments in investment partnerships		-
Total non-operating expenses 22,182 35,437 Ordinary loss (922,890) (3,361,386) Extraordinary income (922,890) (3,361,386) Gain on reversal of share acquisition rights 5,418 32,844 Total extraordinary income 5,418 32,844 Loss before income taxes (917,472) (3,328,542) Income taxes - current 2,453 5,968 Income taxes - deferred 43 (20,272) Total income taxes 2,496 (14,304) Loss (919,969) (3,314,238)	· ·	106	1,691
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Extraordinary income 5,418 32,844 Gain on reversal of share acquisition rights 5,418 32,844 Total extraordinary income 5,418 32,844 Loss before income taxes (917,472) (3,328,542) Income taxes - current 2,453 5,968 Income taxes - deferred 43 (20,272) Total income taxes 2,496 (14,304) Loss (919,969) (3,314,238)			(3,361,386)
Total extraordinary income 5,418 32,844 Loss before income taxes (917,472) (3,328,542) Income taxes - current 2,453 5,968 Income taxes - deferred 43 (20,272) Total income taxes 2,496 (14,304) Loss (919,969) (3,314,238)			
Loss before income taxes (917,472) (3,328,542) Income taxes - current 2,453 5,968 Income taxes - deferred 43 (20,272) Total income taxes 2,496 (14,304) Loss (919,969) (3,314,238)	Gain on reversal of share acquisition rights	5,418	32,844
Loss before income taxes (917,472) (3,328,542) Income taxes - current 2,453 5,968 Income taxes - deferred 43 (20,272) Total income taxes 2,496 (14,304) Loss (919,969) (3,314,238)		5,418	32,844
Income taxes - current 2,453 5,968 Income taxes - deferred 43 (20,272) Total income taxes 2,496 (14,304) Loss (919,969) (3,314,238)		(917,472)	(3,328,542)
Income taxes - deferred 43 (20,272) Total income taxes 2,496 (14,304) Loss (919,969) (3,314,238)	Income taxes - current		
Total income taxes 2,496 (14,304) Loss (919,969) (3,314,238)	Income taxes - deferred		
Loss (919,969) (3,314,238)			
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AnGes, Inc Consolidated Statements of Comprehensive Income (In thousands of yen) (Unaudited)

	The First Qu (From Jan. 1 to	
	2020	2021
Loss	(919,969)	(3,314,238)
Other comprehensive income		
Valuation difference on available-for-sale securities	(5,265)	(7,830)
Foreign currency translation adjustment	(1,266)	1,394,176
Total other comprehensive income	(6,532)	1,386,346
Comprehensive income	(926,501)	(1,927,892)
Comprehensive income attributable to		
owners of parent	(926,501)	(1,927,892)
non-controlling interests	-	-

Projects --- Current pipeline status

Segment	Project	Target indications	Area	Development stage	Alliance partners
	HGF Plasmid (Beperminogene Perplasmid) <i>Collategene®</i>	Chronic arterial occlusive disease with rest pain	Japan	Phase III	Mitsubishi Tanabe Pharma Corporation (JP) Marketing Rights
	HGF Plasmid (Beperminogene Perplasmid)	Arteriosclerosis obliterans with lower limb ulcer	USA	Phase II b	Mitsubishi Tanabe Pharma Corporation (JP) Marketing Rights
	HGF Plasmid (Beperminogene Perplasmid) <i>Collategene®</i>	Chronic arterial occlusive disease with rest pain	Israel	Preparing for application	Kamada
Medicine	HGF Plasmid (Beperminogene Perplasmid) <i>Collategene®</i>	Chronic arterial occlusive disease with rest pain	Turkey	Preparing for application	Er-Kim
Wedicine	NF-κB Decoy Oligonucleotide	Chronic disconegic lumber back pain	USA	Phase I b	-
	Hypertension DNA Vaccine	Hypertension	Australia	Phase I/IIa	-
	Novel Coronavirus DNA Vaccine	Novel coronavirus (COVID-19)	Japan	Phase II/III	-
	Tie2 Receptor Agonist Compound	Novel coronavirus (COVID-19) /ARDS	USA	Phase I	Vasomune (Canada)